

Aptima™ SARS-CoV-2 Assay (Panther™ System)

For in vitro diagnostic use.

For U.S. Export only.

CONTENTS

| General Information | 2 |
|---|------|
| Intended Use | 2 |
| Summary and Explanation of the Test | 2 |
| Principles of the Procedure | 3 |
| Warnings and Precautions | 4 |
| Reagent Storage and Handling Requirements | 5 |
| Specimen Collection and Storage | 6 |
| Specimen Transport | 9 |
| Specimen Pooling - Determining Appropriate Strategy for Implementation and Monitoring | . 10 |
| Preparing Samples for Pooling | . 10 |
| Panther System | . 13 |
| Reagents and Materials Provided | . 13 |
| Materials Required and Available Separately | . 14 |
| Panther System Test Procedure | . 15 |
| Procedural Notes | . 18 |
| Quality Control | . 19 |
| Interpretation of Results | . 20 |
| Limitations | . 21 |
| PantherAptima SARS-CoV-2 Assay Performance | . 23 |
| Bibliography | |
| Annendix A: Specimen Pooling Implementation and Monitoring Guidelines | 33 |

General Information

Intended Use

The Aptima™ SARS-CoV-2 assay is a nucleic acid amplification *in vitro* diagnostic test intended for the qualitative detection of RNA from SARS-CoV-2 isolated and purified from nasopharyngeal (NP), nasal, mid-turbinate and oropharyngeal (OP) swab specimens, nasopharyngeal wash/aspirate or nasal aspirates obtained from individuals meeting COVID-19 clinical and/or epidemiological criteria, as well as upper respiratory specimens (such as nasopharyngeal, nasal, mid-turbinate or oropharyngeal swab specimens) collected from any individual, including from individuals without symptoms or other reasons to suspect COVID-19 infection.

This test is also for the qualitative detection of nucleic acid from the SARS-CoV-2 in pooled samples containing up to 5 individual upper respiratory swab specimens (i.e. nasopharyngeal, nasal, mid-turbinate, or oropharyngeal swabs), where each specimen is collected under observation or by a healthcare provider using individual vials containing transport media. Negative results from pooled testing should not be treated as definitive. If a patient's clinical signs and symptoms are inconsistent with a negative result and if results are necessary for patient management, then the patient should be considered for individual testing. Specimens included in pools with a positive or invalid result must be tested individually prior to reporting a result. Specimens with low viral loads may not be detected in sample pools due to the decreased sensitivity of pooled testing. For specific patients, whose specimen(s) were the subject of pooling, a notice that pooling was used during testing must be included when reporting the result to the healthcare provider.

Results are for the identification of SARS-CoV-2 RNA. The SARS-CoV-2 RNA is generally detectable in upper respiratory specimens during the acute phase of infection. Positive results are indicative of the presence of SARS-CoV-2 RNA, clinical correlation with patient history and other diagnostic information is necessary to determine patient infection status. Positive results do not rule out bacterial infection or co-infection with other viruses. Laboratories are required to report positive results to the appropriate public health authority.

Negative results do not preclude SARS-CoV-2 infection and should not be used as the sole basis for patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information.

The Aptima SARS-CoV-2 assay on the Panther[™] and Panther Fusion[™] system is intended for use by clinical laboratory personnel specifically instructed and trained in the operation of the Panther and Panther Fusion systems and in vitro diagnostic procedures.

Summary and Explanation of the Test

Coronaviruses are a large family of viruses which may cause illness in animals or humans. In humans, several coronaviruses are known to cause respiratory infections ranging from the common cold to more severe diseases such as Middle East Respiratory Syndrome (MERS) and Severe Acute Respiratory Syndrome (SARS). The most recently discovered coronavirus, SARS-CoV-2, causes the associated coronavirus disease COVID-19. This new virus and disease were unknown before the outbreak began in Wuhan, China, in December 2019.

The most common symptoms of COVID-19 are fever, tiredness, and dry cough. Some patients may have aches and pains, nasal congestion, runny nose, sore throat, new loss of taste or

smell, or diarrhea. These symptoms are usually mild and begin gradually. Some people become infected but don't develop any symptoms and don't feel unwell. The disease can spread through respiratory droplets produced when an infected person coughs or sneezes. These droplets can land in the mouths or noses of people who are nearby or possibly be inhaled into the lungs.² These droplets also can land on objects and surfaces around the person. Other people may acquire SARS-CoV-2 by touching these objects or surfaces, then touching their eyes, nose, or mouth.

The virus that causes COVID-19 is infecting people and spreading easily from person to person.³ On March 11, 2020, the COVID-19 outbreak was characterized as a pandemic by the World Health Organization (WHO).^{4,5}

Principles of the Procedure

The Aptima SARS-CoV-2 assay combines the technologies of target capture, Transcription Mediated Amplification (TMA), and Dual Kinetic Assay (DKA).

Specimens are collected and transferred into their respective specimen transport tubes. The transport solutions in these tubes release the RNA target and protect them from degradation during storage. When the Aptima SARS-CoV-2 assay is performed in the laboratory, the target RNA molecules are isolated from specimens by use of capture oligomers via target capture that utilizes magnetic microparticles. The capture oligomers contain sequences complementary to specific regions of the target molecules as well as a string of deoxyadenosine residues. A separate capture oligomer is used for each target. During the hybridization step, the sequence specific regions of the capture oligomers bind to specific regions of the target molecules. The capture oligomer:target complex is then captured out of solution by decreasing the temperature of the reaction to room temperature. This temperature reduction allows hybridization to occur between the deoxyadenosine region on the capture oligomer and the poly-deoxythymidine molecules that are covalently attached to the magnetic particles. The microparticles, including the captured target molecules bound to them, are pulled to the side of the reaction vessel using magnets and the supernatant is aspirated. The particles are washed to remove residual specimen matrix that may contain amplification reaction inhibitors. After the target capture steps are completed, the specimens are ready for amplification.

Target amplification assays are based on the ability of complementary oligonucleotide primers to specifically anneal and allow enzymatic amplification of the target nucleic acid strands. The Aptima SARS-CoV-2 assay replicates specific regions of the RNA from SARS-CoV-2 virus. Detection of the RNA amplification product sequences (amplicon) is achieved using nucleic acid hybridization. Single-stranded chemiluminescent nucleic acid probes, which are unique and complementary to a region of each target amplicon and Internal Control (IC) amplicon, are labeled with different acridinium ester (AE) molecules. The AE labeled probes combine with amplicon to form stable hybrids. The Selection Reagent differentiates hybridized from unhybridized probe, eliminating the generation of signal from unhybridized probe. During the detection step, light emitted from the labeled hybrids is measured as photon signals in a luminometer, and are reported as Relative Light Units (RLU). In DKA, differences in the kinetic profiles of the labeled probes allow for the differentiation of signal; kinetic profiles are derived from measurements of photon output during the detection read time. The chemiluminescent detection reaction for the IC signal has very rapid kinetics and has the "flasher" kinetic type. The chemiluminescent detection reaction for the SARS-CoV-2 signal is relatively slower and has the "glower" kinetic type. Assay results are determined by a cut-off based on the total RLU and the kinetic curve type.

The Aptima SARS-CoV-2 assay amplifies and detects two conserved regions of the ORF1ab gene in the same reaction, using the same "glower" kinetic type. The two regions are not differentiated and amplification of either or both regions leads to RLU signal. The assay results are determined by a cut-off based on the total RLU and the kinetic curve type.

Warnings and Precautions

- A. For *in vitro* diagnostic use. Carefully read this entire package insert and the *Panther/Panther Fusion System Operator's Manual*.
- B. Only personnel adequately trained on the use of this assay and in handling potentially infectious materials should perform these procedures. If a spill occurs, immediately disinfect using appropriate site procedures.
- C. Handle all specimens as if infectious using safe laboratory procedures. Refer to Interim Laboratory Biosafety Guidelines for Handling and Processing Specimens Associated with 2019-nCoV. https://www.cdc.gov/coronavirus/2019-ncov/lab/lab-biosafety-guidelines.html.
- D. Specimens may be infectious. Use Universal Precautions when performing this assay. Proper handling and disposal methods should be established by the laboratory director. Only personnel adequately trained in handling infectious materials should be permitted to perform this diagnostic procedure.⁶
- E. If infection with SARS-CoV-2 is suspected based on current clinical screening criteria recommended by public health authorities, specimens should be collected with appropriate infection control precautions.
- F. Use only supplied or specified disposable laboratory ware.
- G. Use appropriate personal protective equipment when collecting and handling specimens from individuals suspected of being infected with SARS-CoV-2 as outlined in CDC Interim Laboratory Biosafety Guidelines for Handling and Processing Specimens Associated with 2019 Novel Coronavirus (2019-nCoV).
- H. Wear disposable, powderless gloves, protective eye wear, and laboratory coats when handling specimens and reagents. Wash hands thoroughly after handling specimens and reagents.
- I. Dispose of all material that has come into contact with specimens and reagents in accordance with applicable national, international, and regional regulations.
- J. Expiration dates listed on the Panther Fusion Specimen Lysis Tubes, Hologic Specimen Lysis Tubes, the Aptima Multitest Collection Kit, the Aptima Swab Unisex Specimen Collection Kit and the Aptima Specimen Transfer Kit pertain to the transfer of sample into the tube and not to testing of the sample. Specimens collected/transferred any time prior to these expiration dates are valid for testing provided they are transported and stored in accordance with the appropriate package insert, even if these expiration dates have passed.
- K. Maintain proper storage conditions during specimen shipping to ensure the integrity of the specimen. Specimen stability under shipping conditions other than those recommended has not been evaluated.

- L. Avoid cross-contamination during the specimen handling steps. Specimens can contain extremely high levels of virus or other organisms. Ensure that specimen containers do not come in contact with one another, and discard used materials without passing them over any open containers. Change gloves if they come in contact with specimens.
- M. Do not use the reagents and controls after the expiration date.
- N. Store assay components at the recommended storage condition. See *Reagent Storage and Handling Requirements* (page 5), and *Panther System Test Procedure* (page 15) for more information.
- O. Do not combine any assay reagents or fluids. Do not top off reagents or fluids; the Panther system verifies reagent levels.
- P. Avoid microbial and ribonuclease contamination of reagents.
- Q. Do not use material that may contain Guanidinium thiocyanate or any guanidine-containing materials on the instrument. Highly reactive and/or toxic compounds may form if combined with sodium hypochlorite.
- R. Testing of pooled specimens may impact the detection capability of the Aptima SARS-CoV-2 Assay and decrease sensitivity.
- S. A reagent in this kit is labeled with risk and safety symbols.

Note: Hazard Communication reflects the EU Safety Data Sheets (SDS) classification. For hazard communication information specific to your region, refer to the region specific SDS on the Safety Data Sheet Library at www.hologicsds.com.



Selection Reagent BORIC ACID 1-5%

WARNING

H315 - Causes skin irritation

P264 - Wash face, hands and any exposed skin thoroughly after handling

P280 - Wear protective gloves/protective clothing/eye protection/face protection

P302 + P352 - IF ON SKIN: Wash with plenty of soap and water

P332 + P313 - If skin irritation occurs: Get medical advice/attention

P362 - Take off contaminated clothing and wash before reuse

Reagent Storage and Handling Requirements

A. The following reagents are stable when stored at 2°C to 8°C (refrigerated):

Aptima SARS-CoV-2 Amplification Reagent

Aptima SARS-CoV-2 Enzyme Reagent

Aptima SARS-CoV-2 Probe Reagent

Aptima SARS-CoV-2 Internal Control

Aptima SARS-CoV-2 Positive Control

Aptima SARS-CoV-2 Negative Control

B. The following reagents are stable when stored at 2°C to 30°C:

Aptima SARS-CoV-2 Amplification Reconstitution Solution

Aptima SARS-CoV-2 Enzyme Reconstitution Solution

Aptima SARS-CoV-2 Probe Reconstitution Solution

Aptima SARS-CoV-2 Selection Reagent

C. The following reagents are stable when stored at 15°C to 30°C (room temperature):

Aptima SARS-CoV-2 Target Capture Reagent

Aptima Wash Solution

Aptima Buffer for Deactivation Fluid

Aptima Oil Reagent

- D. Working Target Capture Reagent (wTCR) is stable for 30 days when stored at 15°C to 30°C. Do not refrigerate.
- E. After reconstitution, the Enzyme Reagent, Amplification Reagent, and Probe Reagent are stable for 30 days when stored at 2°C to 8°C.
- F. Discard any unused reconstituted reagents and wTCR after 30 days or after the Master Lot expiration date, whichever comes first.
- G. Controls are stable until the date indicated on the vials.
- H. Reagents stored on-board the Panther System have 72 hours of on-board stability.
- I. The Probe Reagent and Reconstituted Probe Reagent are photosensitive. Store the reagents protected from light. The specified reconstituted stability is based on 12 hours exposure of the Reconstituted Probe Reagent to two 60W fluorescent bulbs, at a distance of 17 inches (43 cm), and temperature less than 30°C. Light exposure of the Reconstituted Probe Reagent should be limited accordingly.
- J. Upon warming to room temperature, some control tubes may appear cloudy or contain precipitates. Cloudiness or precipitation associated with controls does not affect control performance. The controls may be used whether they are clear or cloudy/precipitated. If clear controls are desired, solubilization may be expedited by incubating them at the upper end of the room temperature range (15°C to 30°C).

K. Do not freeze the reagents.

Specimen Collection and Storage

Specimens - Clinical material collected from patient placed in an appropriate transport system. For the Aptima SARS-CoV-2 assay, this includes NP, nasal, midturbinate and OP swab specimens, or nasopharyngeal wash/aspirate and nasal aspirate specimen collection in viral transport medium (VTM/UTM), saline, Liquid Amies, or specimen transport medium (STM).

Samples - Represents a more generic term to describe any material for testing on the Panther System including specimens, specimens transferred into a Panther Fusion Specimen Lysis Tube and controls.

Note: Handle all specimens as if they contain potentially infectious agents. Use Universal Precautions.

Note: Take care to avoid cross-contamination during specimen handling steps. For example, discard used material without passing over open tubes.

Swab Specimen Collection

Collect NP swab, nasal swab, and OP swab specimens according to standard technique using a polyester-, rayon-, or nylon-tipped swab. Immediately place the swab specimen into 3mL of VTM or UTM. Swab specimens may alternatively be added to saline, Liquid Amies or STM. The Aptima Multitest Swab Specimen Collection Kit may be used for the collection of OP and nasal swab samples.

After collection, specimens collected in VTM/UTM can be stored at 2°C to 8°C up to 96 hours before transferring to the Specimen Lysis Tube or transfer tube as described in the specimen processing section below. Remaining specimen volumes can be stored at ≤-70°C.

After collection, specimens in the Aptima Multitest Tube may be stored at 2°C to 30°C up to 6 days.

Note: It is recommended that specimens transferred to the Aptima Multitest Tube are stored capped and upright in a rack.

The following types of VTM/UTM can be used.

- Remel MicroTest M4, M4RT, M5 or M6 formulations
- Copan Universal Transport Medium
- BD Universal Viral Transport Medium

Note: Do not use medium that may contain Guanidium thiocyanate or any guanidine-containing material.

Nasopharyngeal Wash/aspirate and Nasal Aspirate Specimen Collection

Collect nasopharyngeal wash/aspirate and nasal aspirate specimens according to standard techniques.

Specimen Processing using the Panther Fusion Specimen Lysis Tube

A. Prior to testing on the Panther system, transfer 500 μL of the collected specimen* to a Panther Fusion Specimen Lysis Tube.

*Note: When testing frozen specimen, allow specimen to reach room temperature prior to processing.

Note: When using the Aptima SARS-CoV-2 uncapped tube assay software, prepare the Panther Fusion Specimen Lysis Tube as described below in Specimen Processing using the Hologic Specimen Lysis Tube with Solid Cap.

Specimen Processing using the Hologic Specimen Lysis Tube with Solid Cap

- A. Uncap the Hologic Specimen Lysis Tube and retain the cap.
- B. Prior to testing on the Panther system, transfer 500 uL of the specimen to the Hologic Specimen Lysis Tube

- C. It is recommended to recap the tube and gently invert three times to ensure viral inactivation and a homogeneous mixture.
- D. To avoid contact with the top of the tube, loosen the cap and place the sample tube into the sample rack.
- E. Remove and discard the cap. Inspect the sample tube. If bubbles are present, carefully remove from the sample tube (for example, use the tip of a sterile swab or similar method).
- F. Place the rack retainer on the sample rack and load the rack into the instrument.

Note: Specimen processing using the Hologic Specimen Lysis Tube is for use with the Aptima SARS-CoV-2 uncapped tube assay software.

Specimen Processing using a Custom Specimen Lysis Tube

A. Using a sterile or non-sterile generic tube made of siliconized glass, polypropylene plastic or similar material that is 12 mm to 13 mm in outer diameter and 75 mm to 100 mm in height, aliquot 0.78 mL ± 0.07 mL of bulk STM into the tube using a pipet or repeat pipettor.

Note: If tubes are prepared prior to use, recap the tube and store at 15°C to 30°C until use in specimen processing.

- B. Uncap the custom Specimen Lysis Tube containing STM and retain the cap.
- C. Prior to testing on the Panther system, transfer 500 µL of the specimen to the custom Specimen Lysis Tube containing STM.
- D. It is recommended to recap the sample tube and gently invert three times to ensure viral inactivation and a homogeneous mixture.
- E. To avoid contact with the top of the tube, loosen the cap and place the sample tube into the sample rack.
- F. Remove and discard the cap. Inspect the sample tube. If bubbles are present, carefully remove from the tube (for example, use the tip of a sterile swab or similar method).
- G. Place the rack retainer on the sample rack and load the rack into the instrument.

Note: Specimen processing using the custom Specimen Lysis Tube is for use with the Aptima SARS-CoV-2 uncapped tube assay software.

Specimen Processing using the Aptima Specimen Transfer Tube

A. Prior to testing on the Panther system, transfer 1 mL of the collected specimen* to an Aptima Specimen Transfer Tube**.

*Note: When testing frozen specimen, allow specimen to reach room temperature prior to processing.

**Note: Alternatively, an unused Aptima Multitest Tube or Aptima Unisex Tube can be used.

- B. Recap the Aptima Specimen Transfer Tube tightly.
- C. Gently invert the tube 2 to 3 times to ensure complete mixture of the specimen.

Note: The Aptima Specimen Transfer Tube cannot be tested on a system using the Aptima SARS-CoV-2 uncapped tube assay software.

Specimen Processing for Specimen Collected with the Aptima Multitest Collection Kit

A. After placing the collected specimen* into the Aptima Multitest Tube using the Aptima Multitest Collection Kit, no further processing is required.

*Note: When testing frozen specimen, allow specimen to reach room temperature prior to processing.

Note: On a system using the Aptima SARS-CoV-2 uncapped tube assay software, transfer the collected specimen from the Aptima Multitest Tube to a Hologic Specimen Lysis Tube or custom Specimen Lysis Tube as described in the specimen processing sections above.

Sample Storage

- A. Samples on board the Panther system may be archived for additional testing at a later time.
- B. Storing samples before or after testing
 - 1. Samples in the Aptima Multitest Tube, Aptima Specimen Tube, or Specimen Lysis Tube should be stored upright in the rack under the following condition:
 - 2°C to 30°C up to 6 days
 - 2. The samples should be covered with a new, clean plastic film or foil barrier.
 - 3. If assayed samples need to be frozen or shipped, remove the penetrable cap and place a new non-penetrable cap on the specimen tubes. If samples need to be shipped for testing at another facility, recommended temperatures must be maintained. Prior to uncapping, specimen transport tubes must be centrifuged for 5 minutes at 420 Relative Centrifugal Force (RCF) to bring all of the liquid down to the bottom of the tube. Avoid splashing and cross-contamination.

Note: The Fisherbrand[™] VersaClosure[™] tube closure should not be used to cover tubes for freezing or shipping.

Specimen Transport

Maintain specimen storage conditions as described in the *Specimen Collection and Storage* section on page 6.

Note: Specimens must be shipped in accordance with applicable national, international, and regional transportation regulations.

Specimen Pooling - Determining Appropriate Strategy for Implementation and Monitoring

When considering specimen pooling, laboratories should evaluate the appropriateness of a pooling strategy based on the positivity rate in the testing population and the efficiency of the pooling workflow. Refer to Appendix A of these Instructions for Use for additional information **prior** to implementation of specimen pooling.

Preparing Samples for Pooling

The following upper respiratory tract specimens authorized for use under the Emergency Use Authorization of the Aptima SARS-CoV-2 assay may be tested with sample pooling: nasopharyngeal, oropharyngeal, mid-turbinate, and nasal swab specimens collected into VTM/UTM, saline, Liquid Amies and specimen transport media (STM). Only specimens collected into a single type of media may be combined for each sample pool. For example, specimens collected in VTM/UTM should not be combined into a pool with specimens collected in Liquid Amies. Additionally, both neat specimens (those not prepared with STM for testing) and specimens prepared with STM for testing may be included in sample pooling. Each sample pool must be comprised of only neat or only STM prepared specimens. Prior to including a specimen in pooled testing, ensure adequate specimen volume is available for individual testing should the pool generate positive results. Recommended sample pooling workflow options for different specimen types are provided below.

Specimens to be Collected in VTM/UTM, Saline or Liquid Amies

Customers may choose from one of the following two options to perform specimen processing for pooled samples using Specimen Lysis Tubes with the Aptima SARS-CoV-2 assay.

Note: Hologic testing was performed using pooled samples generated from samples collected in a single collection medium type (i.e., VTM/UTM). Combination of multiple transport media types (e.g., VTM/UTM, saline, and Liquid Amies) in a single pool has not been evaluated.

Option 1:

Specimen Preparation Instructions for Neat Samples Pooled Directly into a Specimen Lysis Tube (Hologic SLT, Custom SLT, and Panther Fusion SLT)

Perform the following procedure when pooling specimens collected in VTM/UTM, saline, or Liquid Amies by transferring samples directly into a Specimen Lysis Tube (Hologic SLT, Custom SLT, or Panther Fusion SLT).

- A. Determine the appropriate volume required from each individual specimen based on the pool size being implemented. The required specimen to STM ratio in the assay test sample must be maintained for sample pooling. For example, if a pool size of 5 specimens is being utilized, 100 µL of each individual specimen (500 µL total) is required.
- B. Uncap the Specimen Lysis Tube and retain the cap.
- C. Prior to testing on the Panther system, carefully transfer the determined volume of each individual specimen from the specimen collection container to the Specimen Lysis Tube.
- D. Ensure homogeneous mixing of each prepared sample pool.

Note: Retain the individual specimens for additional testing, if required.

Option 2:

Specimen Preparation Instructions for Samples Pooled Prior to Transferring to a Specimen Lysis Tube (Hologic SLT, Custom SLT, and Panther Fusion SLT)

Perform the following procedure when pooling specimens collected in VTM/UTM, saline, or Liquid Amies, by pooling the samples prior to transferring into a Specimen Lysis Tube (Hologic SLT, Custom SLT, or Panther Fusion SLT).

- A. Obtain a generic empty tube. This tube will not be loaded on the Panther System for testing.
- B. Determine the appropriate volume required from each individual specimen based on the pool size being implemented.

Note: The total volume of the pooled specimens must be greater than 500 μ L to support transfer into a Specimen Lysis Tube.

- C. Prior to testing on the Panther system, carefully transfer 500 μL of the pooled specimens from the generic tube into a Specimen Lysis Tube (Hologic SLT, Custom SLT, or Panther Fusion SLT).
- D. Ensure homogenous mixing of each prepared sample pool.

Note: Retain the individual specimens for additional testing, if required.

Option 3:

Specimen Preparation Instructions for Specimens Previously Transferred into Specimen Lysis Tubes and Pooled into an Empty Tube

Perform the following procedure when pooling specimens from Specimen Lysis Tubes (Hologic SLT, Custom SLT, or Panther Fusion SLT) by transferring directly into an empty tube per specifications in the Panther or Panther Fusion System Operators Manual.

- A. Obtain a Panther system compatible empty tube.
- B. Determine the appropriate volume required from each individual specimen based on the pool size being implemented. Specimens previously transferred into a Specimen Lysis Tube do not require additional dilution with STM prior to testing.

Note: The recommended combined volume of each individual specimen is dependent upon the dimensions of tube being utilized. A Hologic representative can provide recommendations on minimum volume requirements for processing on the Panther system.

- C. Prior to testing on the Panther system, carefully transfer the determined volume of each individual specimen from the Specimen Lysis Tubes (Hologic SLT, Custom SLT, or Panther Fusion SLT) to the Panther system compatible empty tube.
- D. Ensure homogeneous mixing of each prepared sample pool.

Note: Retain the individual specimens for additional testing, if required.

For Specimens Collected in Aptima Multitest Transport Tubes

Specimen Preparation Instructions for Samples Pooled Directly into a Generic Tube

Perform the following procedure when pooling specimens collected in Aptima Multitest Transport Tubes by transferring individual specimens directly into an empty tube per specifications in the *Panther or Panther Fusion System Operators Manual*.

- A. Obtain a Panther system compatible empty tube.
- B. Determine the appropriate volume required from each individual specimen based on the pool size being implemented. Specimens collected in an Aptima Multitest Transport Tube do not require additional dilution with STM prior to testing.

Note: The recommended combined volume of each individual specimen is dependent upon the dimensions of tube being utilized. A Hologic representative can provide recommendations on minimum volume requirements for processing on the Panther system.

- C. Prior to testing on the Panther system, carefully transfer the determined volume of each individual specimen from the Aptima Multitest Transport Tubes to the empty tube.
- D. Ensure homogeneous mixing of each prepared sample pool.

Note: Retain the individual specimens for additional testing if required.

Panther System

Reagents for the Aptima SARS-CoV-2 assay are listed below for the Panther System. Reagent Identification Symbols are also listed next to the reagent name.

Reagents and Materials Provided

Aptima SARS-CoV-2 Assay Kit PRD-06419

250 tests (2 boxes)

Aptima SARS-CoV-2 Refrigerated Box (Box 1 of 2) (store at 2°C to 8°C upon receipt)

| Symbol | Aptima SARS-CoV-2 Amplification Reagent Non-infectious nucleic acids dried in buffered solution containing < 5% bulking agent. | | | | | | |
|--------|--|--------|--|--|--|--|--|
| Α | | | | | | | |
| E | Aptima SARS-CoV-2 Enzyme Reagent Reverse transcriptase and RNA polymerase dried in HEPES buffered solution containing < 10% bulking reagent. | 1 vial | | | | | |
| Р | Aptima SARS-CoV-2 Probe Reagent Non-infectious chemiluminescent DNA probes dried in succinate buffered solution containing < 5% detergent. | 1 vial | | | | | |
| IC | Aptima SARS-CoV-2 Internal Control | 1 vial | | | | | |

Aptima SARS-CoV-2 Room Temperature Box (Box 2 of 2) (store at 15°C to 30°C upon receipt)

| Symbol | Component | Quantity 250 test kit |
|--------|--|--------------------------|
| AR | Aptima SARS-CoV-2 Amplification Reconstitution Solution Aqueous solution containing preservatives. | 1 x 27.7 mL |
| ER | Aptima SARS-CoV-2 Enzyme Reconstitution Solution HEPES buffered solution containing a surfactant and glycerol. | 1 x 11.1 mL |
| PR | Aptima SARS-CoV-2 Probe Reconstitution Solution Succinate buffered solution containing < 5% detergent. | 1 x 35.4 mL |
| S | Aptima SARS-CoV-2 Selection Reagent 600 mM borate buffered solution containing surfactant. | 1 x 108 mL |
| TCR | Aptima SARS-CoV-2 Target Capture Reagent Buffered salt solution containing solid phase and capture oligomers. | 1 x 54 mL |
| | Reconstitution Collars | 3 |
| | Master Lot Barcode Sheet | 1 sheet |

Materials Required and Available Separately

Note: Materials available from Hologic have catalog numbers listed, unless otherwise specified.

| Cat. No. |
|----------|
|----------|

Panther System 303095

Aptima Assay Fluids Kit 303014 (1000 tests)

(Aptima Wash Solution, Aptima Buffer for Deactivation Fluid, and Aptima Oil

Reagent)

Aptima Auto Detect Kit 303013 (1000 tests)

Multi-tube units (MTUs) 104772-02
Panther Waste Bag Kit 902731

Panther Waste Bin Cover 504405

Or Panther Run Kit 303096 (5000 tests)

contains MTUs, waste bags, waste bin covers, assay fluids, and auto detects

Tips, 1000 μL conductive, liquid sensing 10612513 (Tecan)

Aptima SARS-CoV-2 Controls Kit PRD-06420

PC - Aptima SARS-CoV-2 Positive Control. Non-infectious nucleic acid in a buffered solution containing < 5% detergent. Quantity 5 x 1.7 mL

NC - Aptima SARS-CoV-2 Negative Control. A buffered solution containing <5% detergent. Quantity 5 x 1.7 mL

Aptima Multitest Swab Specimen Collection Kit PRD-03546

Aptima Specimen Transfer Kit 301154C

Aptima Specimen Transfer Kit - printable PRD-05110

Aptima Unisex Swab Specimen Collection Kit for Endocervical and 301041

Male Urethral Swab Specimens

Panther Fusion Specimen Lysis Tubes, 100 per bag PRD-04339

tube contains 0.71 mL of STM with a penetrable cap

Hologic Specimen Lysis Tubes, 100 each PRD-06554

tube contains 0.71 mL of STM with a solid cap

Hologic Specimen Lysis Tubes, 1200 each PRD-06660

tube contains 0.71 mL of STM with a solid cap

Specimen Transport Medium, 1 bottle, 80 mL PRD-04423

Specimen Transport Medium, 1 bottle, 120 mL PRD-06657

Bleach, 5% to 7% (0.7M to 1.0M) sodium hypochlorite solution —

Disposable gloves —

Hologic Solid Replacement Caps, 100 per bag PRD-06720

Fisherbrand VersaClosure Tube Closures*, 1000 per pack 02-707

*a single-use tube cover for the Hologic Specimen Lysis Tube (PRD-06554 only)

after testing

Cat. No.

Replacement Caps for the 250-test kits

Amplification and Probe reagent reconstitution solutions CL0041 (100 caps)

Enzyme Reagent reconstitution solution

TCR and Selection reagent

CL0040 (100 caps)

Optional Materials

Hologic Bleach Enhancer for Cleaning 302101

for routine cleaning of surfaces and equipment

Tube rocker —

Panther System Test Procedure

Note: Refer to the Panther/Panther System Operator's Manual for additional procedural information.

A. Work Area Preparation

Clean work surfaces where reagents and samples will be prepared. Wipe down work surfaces with 2.5% to 3.5% (0.35M to 0.5M) sodium hypochlorite solution. Allow the sodium hypochlorite solution to contact surfaces for at least 1 minute and then follow with a water rinse. Do not allow the sodium hypochlorite solution to dry. Cover the bench surface on which the reagents and samples will be prepared with clean, plastic-backed absorbent laboratory bench covers.

B. Reagent Reconstitution/Preparation of a New Kit

Note: Reagent reconstitution should be performed prior to beginning any work on the Panther System.

- 1. To reconstitute Amplification, Enzyme, and Probe Reagents, combine the bottles of lyophilized reagent with the reconstitution solution. If refrigerated, allow the reconstitution solutions to reach room temperature before use.
 - a. Pair each reconstitution solution with its lyophilized reagent. Ensure that the reconstitution solution and reagent have matching label colors before attaching the reconstitution collar.
 - b. Check the lot numbers on the Master Lot Barcode Sheet to ensure that the appropriate reagents are paired.
 - c. Open the lyophilized reagent vial and firmly insert the notched end of the reconstitution collar into the vial opening (Figure 1, Step 1).
 - d. Open the matching reconstitution solution, and set the cap on a clean, covered work surface.
 - e. While holding the reconstitution solution bottle on the bench, firmly insert the other end of the reconstitution collar into the bottle opening (Figure 1, Step 2).

- f. Slowly invert the assembled bottles. Allow the solution to drain from the bottle into the glass vial (Figure 1, Step 3).
- g. Thoroughly mix the solution in the glass vial by swirling (Figure 1, Step 4).
- h. Wait for the lyophilized reagent to go into solution, then invert the assembled bottles again, tilting at a 45° angle to minimize foaming (Figure 1, Step 5). Allow all of the liquid to drain back into the plastic bottle.
- i. Remove the reconstitution collar and glass vial (Figure 1, Step 6).
- j. Recap the plastic bottle. Record operator initials and reconstitution date on the label (Figure 1, Step 7).
- k. Discard the reconstitution collar and glass vial (Figure 1, Step 8).

Option: Additional mixing of the Amplification, Enzyme, and Probe Reagents using a tube rocker is allowed. The reagents may be mixed by placing the recapped plastic bottle on a tube rocker set to 20 RPM (or equivalent) for a minimum of 5 minutes.

Warning: Avoid creating foam when reconstituting reagents. Foam compromises the level-sensing in the Panther System.

Warning: Adequate mixing of the reagents is necessary to achieve expected assay results.

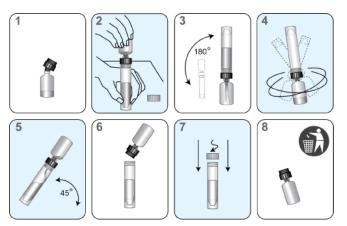


Figure 1. Panther System Reconstitution Process

- 2. Prepare Working Target Capture Reagent (wTCR)
 - a. Pair the appropriate bottles of TCR and IC.
 - b. Check the reagent lot numbers on the Master Lot Barcode Sheet to make sure that the appropriate reagents in the kit are paired.
 - c. Open the bottle of TCR, and set the cap on a clean, covered work surface.
 - d. Open the IC bottle and pour the entire contents into the bottle of TCR. Expect a small amount of liquid to remain in the IC bottle.
 - e. Cap the bottle of TCR and gently swirl the solution to mix the contents. Avoid creating foam during this step.
 - f. Record operator initials and the current date on the label.
 - g. Discard the IC bottle and cap.

- 3. Prepare Selection Reagent
 - a. Check the lot number on the reagent bottle to make sure it matches the lot number on the Master Lot Barcode Sheet.
 - b. Record operator initials and the current date on the label.

Note: Thoroughly mix by gently inverting all reagents prior to loading on the system. Avoid creating foam during inversion of reagents.

- C. Reagent Preparation for Previously Reconstituted Reagents
 - 1. Previously reconstituted Amplification, Enzyme, and Probe Reagents must reach room temperature (15°C to 30°C) prior to the start of the assay.

Option: The reagents may be brought to room temperature by placing the reconstituted Amplification, Enzyme, and Probe Reagents on a tube rocker set to 20 RPM (or equivalent) for a minimum of 25 minutes.

- 2. If reconstituted Probe Reagent contains precipitate that does not return to solution at room temperature, heat the capped bottle at a temperature that does not exceed 62°C for 1 to 2 minutes. After this heat step, the Probe Reagent may be used even if residual precipitate remains. Mix Probe Reagent by inversion, being careful not to induce foam, prior to loading onto the system.
- 3. Thoroughly mix each reagent by gently inverting prior to loading on the system. Avoid creating foam during inversion of reagents. This step is not required if reagents are loaded onto the system directly after mixing on the tube rocker.
- 4. Do not top off reagent bottles. The Panther System will recognize and reject bottles that have been topped off.
- 5. Adequate mixing of the reagents is necessary to achieve expected assay results.
- D. Specimen Handling using Panther Fusion Specimen Lysis Tube or Aptima Specimen Transfer Tube

Note: Prepare specimens per the Specimen Processing instructions in the Specimen Collection and Storage section before loading specimens onto the Panther system.

1. Inspect sample tubes before loading into the rack. If a sample tube contains bubbles or has a lower volume than is typically observed, gently tap the bottom of the tube to bring contents to the bottom.

Note: For samples transferred to the Panther Fusion Specimen Lysis Tube or the Aptima Specimen Transfer Tube, to avoid a processing error, ensure adequate specimen volume is added to the tube. When adequate collected specimen is added to the tube, there is sufficient volume to perform 3 nucleic acid extractions.

- E. Specimen Handling using Hologic Specimen Lysis Tube or custom Specimen Lysis Tube
 - 1. Prepare specimens per the specimen processing instructions in the *Specimen Collection* and *Storage* section.

Note: For samples transferred to the Hologic Specimen Lysis Tube or a custom Specimen Lysis Tube, to avoid a processing error, ensure adequate specimen volume is added to the tube. When adequate collected specimen is added to the tube, there is sufficient volume to perform 2 nucleic acid extractions.

Note: When using the Aptima SARS-CoV-2 uncapped tube assay software, remove the cap from the Positive and Negative control before loading onto the Panther system.

F. System Preparation

- 1. Set up the system according to the instructions in the *Panther/Panther Fusion System Operator's Manual* and *Procedural Notes*. Make sure that the appropriately sized reagent racks and TCR adapters are used.
- 2. Load samples.

Procedural Notes

A. Controls

- 1. To work properly with the Aptima Assay software for the Panther system, one pair of controls is required. The Aptima SARS-CoV-2 positive and negative controls can be loaded in any rack position or in any Sample Bay Lane on the Panther system. Patient specimen pipetting will begin when one of the following two conditions has been met:
 - a. A pair of controls is currently being processed by the system.
 - b. Valid results for the controls are registered on the system.
- 2. Once the control tubes have been pipetted and are processing for a specific reagent kit, patient specimens can be run with the associated kit up to 24 hours unless:
 - a. Controls results are invalid.
 - b. The associated assay reagent kit is removed from the system.
 - c. The associated assay reagent kit has exceeded stability limits.
- 3. Each Aptima control tube can be tested once. Attempts to pipette more than once from the tube can lead to processing errors.
- 4. Patient specimen pipetting begins when one of the following two conditions is met:
 - a. Valid results for the controls are registered on the system.
 - b. A pair of controls is currently in process on the system.

B. Temperature

Room temperature is defined as 15°C to 30°C.

C. Glove Powder

As in any reagent system, excess powder on some gloves may cause contamination of opened tubes. Powderless gloves are recommended.

D. Lab Contamination Monitoring Protocol for the Panther System

There are many laboratory-specific factors that may contribute to contamination, including testing volume, workflow, disease prevalence and various other laboratory activities. These factors should be taken into consideration when contamination monitoring frequency is being established. Intervals for contamination monitoring should be established based on each laboratory's practices and procedures.

To monitor for laboratory contamination, the following procedure may be performed using the Aptima Unisex Swab Specimen Collection Kit for Endocervical and Male Urethral Swab Specimens:

- 1. Label swab transport tubes with numbers corresponding to the areas to be tested.
- 2. Remove the specimen collection swab (blue shaft swab with green printing) from its packaging, wet the swab in the specimen transport medium (STM), and swab the designated area using a circular motion.
- 3. Immediately insert the swab into transport tube.
- 4. Carefully break the swab shaft at the score line; use care to avoid splashing of the contents.
- 5. Recap the swab transport tube tightly.
- 6. Repeat Steps 2 to 5 for each area to be swabbed.
- E. If the results are positive, see *Interpretation of Results*. For additional Panther system-specific contamination monitoring information, contact Hologic Technical Support.

Quality Control

A run or specimen result may be invalidated by the Panther system if problems occur while performing the assay. Specimens with invalid results must be retested.

Negative and Positive Controls

To generate valid results, a set of assay controls must be tested. One replicate of the negative assay control and positive assay control must be tested each time a new kit is loaded on the Panther system or when the current set of valid controls have expired.

The Panther system is configured to require assay controls run at an administrator-specified interval of up to 24 hours. Software on the Panther system alerts the operator when assay controls are required and does not start new tests until the assay controls are loaded and have started processing.

During processing, criteria for acceptance of the assay controls are automatically verified by the Panther system. To generate valid results, the assay controls must pass a series of validity checks performed by the Panther system.

If the assay controls pass all validity checks, they are considered valid for the administrator-specified time interval. When the time interval has passed, the assay controls are expired by the Panther system which requires a new set of assay controls be tested prior to starting any new samples.

If any one of the assay controls fails the validity checks, the Panther system automatically invalidates the affected samples and requires a new set of assay controls be tested prior to starting any new samples.

Internal Control

An internal control is added to each sample with the wTCR. During processing, the internal control acceptance criteria are automatically verified by the Panther system software. Detection of the internal control is not required for samples that are positive for SARS-CoV-2. The internal control must be detected in all samples that are negative for SARS-CoV-2 targets; samples that fail to meet that criteria will be reported as Invalid. Each sample with an Invalid result must be retested.

The Panther system is designed to accurately verify processes when procedures are performed following the instructions provided in this package insert and the *Panther/Panther Fusion System Operator's Manual.*

Interpretation of Results

The Panther system automatically determines the test results for samples and controls. A test result may be negative, positive, or invalid.

Table 1 shows the possible results reported in a valid run with result interpretations.

Table 1: Result Interpretation

| SARS-CoV-2 Result | IC Result | Interpretation |
|-------------------|-----------|---|
| Neg | Valid | SARS-CoV-2 not detected. |
| POS | Valid | SARS-CoV-2 detected. |
| Invalid | Invalid | Invalid. There was an error in the generation of the result; retest sample. |

Note: Detection of internal control is not required for samples that are positive for SARS-CoV-2.

Interpretation of Results for Pooled Samples

Negative: Negative results from pooled sample testing should not be treated as definitive. If the patient's clinical signs and symptoms are inconsistent with a negative result and results are necessary for patient management, then the patient should be considered for individual testing. The utilization of sample pooling should be indicated for any specimens with reported negative results.

Positive: Specimens with a positive sample pool result must be tested individually prior to reporting a result. Specimens with low viral loads may not be detected in sample pools due to the decreased sensitivity of pooled testing.

Invalid: Specimens with an invalid result must be tested individually prior to reporting a result. However, in instances of an invalid run, repeat testing of pooled specimens may be appropriate depending on the laboratory workflow and required result reporting time.

Limitations

- A. Use of this assay is limited to personnel who are trained in the procedure. Failure to follow these instructions may result in erroneous results.
- B. Reliable results are dependent on adequate specimen collection, transport, storage, and processing.
- C. Avoid contamination by adhering to good laboratory practices and to the procedures specified in this package insert.
- D. A positive result indicates the detection of nucleic acid from the relevant virus. Nucleic acid may persist even after the virus is no longer viable.
- E. Nasopharyngeal wash/aspirate or nasal aspirates and self-collected or healthcare provider collected nasal and midturbinate nasal swabs are additional acceptable upper respiratory specimens that can be tested with the Aptima SARS-CoV-2 assay; however, performance with these specimen types have not been determined.
- F. Different specimen types should not be pooled together.
- G. Sample pooling has only been validated using nasopharyngeal swab specimens.
- H. Samples should only be pooled when testing demand exceeds laboratory capacity and/or when testing reagents are in short supply.
- I. Use of the Aptima SARS-CoV-2 assay in a general, asymptomatic screening population, is intended to be used as part of an infection control plan that may include additional preventative measures, such as a predefined serial testing plan or directed testing of high-risk individuals. Negative results should be considered presumptive and do not preclude current or future infection obtained through community transmission or other exposures. Negative results must be considered in the context of an individual's recent exposures, history, presence of clinical signs and symptoms consistent with COVID-19.
- J. Hologic continues to routinely monitor SARS-CoV-2 variants to determine any effect on performance. Based on an *in silico* analysis, Hologic does not expect the performance to be affected by the emerging SARS-CoV-2 variants or mutations listed in Table 2.

Table 2: Emerging Variants

| SARS-CoV-2 Variant | First detected in sequence from | Aliases |
|--------------------|---------------------------------|--|
| Alpha | UK (Kent) | 20I/501Y.V1 (formerly 20B/501Y.V1) VOC-20DEC01 B.1.1.7 |
| Beta | South Africa | 20H/501Y.V2 (formerly 20C/501Y.V2) VOC-20DEC02 B.1.351 |
| Gamma | Japan ex Brazil (Manaus) | 20J/501Y.V3 VOC-21JAN02 P.1 |
| Delta | India | 20A/S:478K VOC-21APR02 B.1.617.2 |
| Epsilon | USA (CA) | CAL.20C or 20C/S:452R B.1.427/B.1.429 |
| Zeta | Brazil | VUI-21JAN01 P.2 |
| Eta | UK and Nigeria | 20A:/S:484K VUI-21FEB03 B.1.525 |
| Theta | Philippines | VUI-21MAR02 P.3 |
| lota | USA (NY) | 20C/S:484K B.1.526 |
| Карра | India | 20A/S:154K VUI-21APR01 B.1.617.1 |
| Lambda | Peru | VUI-21JUN-01 C.37 |
| B.1.1.318 | UK | VUI-21FEB04 |
| B.1.617.3 | India | VUI-21APR03 |

Aptima SARS-CoV-2 Assay Performance

Analytical Sensitivity

The analytical sensitivity (limit of detection or LoD) of the Aptima SARS-CoV-2 assay was determined by testing serial dilutions of pooled negative clinical nasopharyngeal swab specimens spiked with inactivated cultured SARS-CoV-2 virus (USA-WA1/2020; BEI Resources; NR-52281). Ten replicates of each serial dilution were evaluated using each of two assay reagent lots across two Panther systems. The LoD was determined to be 0.01 TCID₅₀/mL and verified by testing an additional 20 replicates with one assay reagent lot. The LoD was also confirmed using saline, Liquid Amies and specimen transport medium (STM) swab collection media.

The analytical sensitivity of the Aptima SARS-CoV-2 assay was additionally evaluated using reference material from three commercial vendors. Serial dilutions of the reference material were made in STM and 20 or more replicates at each level were tested using each of two assay reagent lots across two Panther systems. The reference materials and the lowest dilution levels resulting in $\geq 95\%$ detection are listed in Table 3.

| Vendor | Vendor Name | | Lot# | Analytical Sensitivity |
|------------------|--|-----------------------|----------|-------------------------------|
| ZeptoMetrix | SARS-CoV-2 External Run control | NATSARS(COV2)- ERC | 324332 | 83 Copies/mL |
| SeraCare | AccuPlex SARS-Cov-2 Reference Material | 0505-0126 | 10483977 | 83 Copies/mL |
| Exact Diagnostic | SARS-CoV-2 Standard | COV019 | 20033001 | 83 Copies/mL |

Analytical Sensitivity with the Aptima Specimen Transfer Tube Workflow

The determined 0.01 TCID₅₀/mL analytical sensitivity (limit of detection) of the Aptima SARS-CoV-2 assay was confirmed using the Aptima Specimen Transfer tube specimen preparation workflow. Confirmation was performed using inactivated cultured SARS-CoV-2 virus (USA-QA1/2020; BEI Resources; NR-52281) in negative clinical nasopharyngeal (NP) swab, saline, Liquid Amies and specimen transport medium (STM) swab collection media by testing 20 replicates with one reagent lot (Table 4).

Table 4: LoD Confirmation with the Aptima Specimen Transfer Workflow

| Target | Matrix | N Valid | N Positive | % Positive | Avg kRLU | StdDev kRLU | %CV |
|------------------------------------|--------------|---------|------------|---------------|-------------|----------------|-------|
| Inactivated SARS-CoV-2 virus | NP Swab | 20 | 20 | 100% | 1063 | 61 | 5.8% |
| | STM | 20 | 20 | 100% | 1064 | 116 | 10.9% |
| | Saline | 20 | 20 | 100% | 1102 | 60 | 5.4% |
| | Liquid Amies | 20 | 20 | 100% | 1101 | 51 | 4.7% |

Reproducibility

The Aptima SARS-CoV-2 assay reproducibility was evaluated on three Panther systems at a single site using four panel members. Testing was performed using three lots of assay reagents with two operators over six days. Two runs were performed per operator per day for a total of 36 runs. Each of the four panels was tested in three replicates per run for a total of 108 replicates per panel.

Positive and negative panel members consisted of pooled clinical nasopharyngeal (NP) swab matrix combined with Solution Transport Media (STM) in a ratio of 1:1.56. Positive panel members spiked with inactivated cultured SARS-CoV-2 virus at 0.1x LoD (High Negative), 1x LoD (Low Positive) and 5x LoD (Moderate Positive).

The agreement with expected results was 100% in the Negative, Low Positive and Moderate Positive panel members. The High Negative panel member was 10x below the assay LoD, therefore a mix of positive and negative results were expected. This panel gave 68/108 (63%) positive results. Agreement with expected results for all four panels is shown in Table 5.

| Panel Description | Panel Composition | Panel Conc. TCID ₅₀ /mL | Expected Result | N Positive | N Tested | Mean kRLU | Agreement w/Expected (95% CI) |
|----------------------|----------------------|---------------------------------------|--------------------|---------------|----------|--------------|-------------------------------------|
| Negative | N/A | N/A | Negative | 0 | 108 | 289 | 100% (96.6-100) |
| High Negative | 0.1x LoD | 0.001 | N/A | 68 | 108 | 627 | N/A |
| Low Positive | 1.0x LoD | 0.01 | Positive | 108 | 108 | 1131 | 100% (96.6-100) |
| Moderate Positive | 5.0x LoD | 0.05 | Positive | 108 | 108 | 1147 | 100% (96.6-100) |

The total SARS-CoV-2 signal variability measured as %CV ranged from 2.75% to 3.84% in Negative, Low Positive, and Moderate Positive panel members. For the sources of variation all six factors evaluated had %CV values <3.0% as shown in Table 6. The High Negative panel member is 10x below the assay LoD and the %CV for this panel is expected to be higher than the others. The highest source of variability for this panel was within-run variability.

| Panel | | Between Days | | veen ments | | veen ators | Betv Lo | | | veen ins | Wit Ru | | To | tal |
|----------------------|-------|-----------------|------|---------------|-----|---------------|------------|-----------|-----------|-------------|-----------|-----------|--------|-----------|
| ranei | SD | CV (%) | SD | CV (%) | SD | CV (%) | SD | CV (%) | SD | CV (%) | SD | CV (%) | SD | CV (%) |
| Negative | 0.91 | 0.31 | 4.97 | 1.72 | 0.0 | 0.0 | 4.04 | 1.40 | 0.0 | 0.0 | 6.75 | 2.33 | 9.35 | 3.23 |
| High Negative* | 30.45 | 4.85 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 244.08 | 38.91 | 245.97 | 39.21 |
| Low Positive | 6.46 | 0.57 | 6.74 | 0.60 | 0.0 | 0.0 | 28.10 | 2.48 | 0.0 | 0.0 | 31.77 | 2.81 | 43.43 | 3.84 |
| Moderate Positive | 8.53 | 0.74 | 5.59 | 0.49 | 0.0 | 0.0 | 22.98 | 2.00 | 11.0 6 | 0.96 | 15.59 | 1.36 | 31.59 | 2.75 |

Table 6: kRLU Signal Variability of the Aptima SARS-CoV-2 Assay by Panel Member

Carryover Contamination

The carryover contamination rate of the Aptima SARS-CoV-2 assay for samples tested with the capped tube and uncapped tube workflows was determined. The evaluation consisted of testing high titer SARS-CoV-2 target panels ~5 logs above the assay LoD in a checkerboard pattern with negative panels in four runs on three Panther systems. The capped tube workflow had an observed carryover rate of 0%, whereas the uncapped tube workflow carryover rate was 0.67% with 5 of 744 negative samples evaluated giving a false positive result.

Inclusivity

The inclusivity of the Aptima SARS-CoV-2 assay was evaluated using *in silico* analysis of the assay target capture oligos, amplification primers, and detection probes in relation to 9,896 SARS-CoV-2 sequences available in the NCBI and GISAID gene databases. Any sequence with missing or ambiguous sequence information was removed from the analysis, resulting in 9,879 sequences evaluated for the first target region of the assay and 9,880 for the second target region. The *in silico* analysis showed 100% homology to the assay oligos of both target systems for 9,749 (98.5%) of the evaluated sequences and 100% homology to the assay oligos of at least one target system for all 9,896 sequences. There were no evaluated sequences with identified mismatches predicted to impact binding or performance of both target systems.

Analytical Specificity and Microbial Interference

The analytical specificity of the Aptima SARS-CoV-2 assay was evaluated by testing 30 microorganisms representing common respiratory pathogens or closely related species (Table 7). Bacteria were tested at 10⁶ CFU/mL and viruses were tested at 10⁵ TCID₅₀/mL, except where noted. Microorganisms were tested with and without the presence of SARS-CoV-2 inactivated virus at 3x LoD. Analytical specificity of the Aptima SARS-CoV-2 assay was 100% with no evidence of microbial interference.

In addition to microorganism testing, *in silico* analysis was performed to assess the specificity of the assay in relation to the microorganisms listed in Table 7. The *in silico* analysis showed no probable cross reactivity to any of the 112 GenBank sequences evaluated.

^{*}Panel was built to 10x below the assay LoD. Higher variability is expected in this panel.

| Microorganism | Concentration | Microorganism | Concentration |
|--|-----------------------------|----------------------------|-----------------------------|
| Human coronavirus 229E | 1E+5 TCID ₅₀ /mL | Parainfluenza virus 1 | 1E+5 TCID ₅₀ /mL |
| Human coronavirus OC43 | 1E+5 TCID ₅₀ /mL | Parainfluenza virus 2 | 1E+5 TCID ₅₀ /mL |
| Human coronavirus HKU1 ¹ | 1E+6 copies/mL | Parainfluenza virus 3 | 1E+5 TCID ₅₀ /mL |
| Human coronavirus NL63 | 1E+4 TCID ₅₀ /mL | Parainfluenza virus 4 | 1E+3 TCID ₅₀ /mL |
| SARS-coronavirus ¹ | 1E+6 copies/mL | Influenza A | 1E+5 TCID ₅₀ /mL |
| MERS-coronavirus | 1E+4 TCID ₅₀ /mL | Influenza B | 2E+3 TCID ₅₀ /mL |
| Adenovirus (e.g. C1 Ad. 71) | 1E+5 TCID ₅₀ /mL | Enterovirus (e.g. EV68) | 1E+5 TCID ₅₀ /mL |
| Human Metapneumovirus (hMPV) | 1E+6 TCID ₅₀ /mL | Rhinovirus | 1E+4 TCID ₅₀ /mL |
| Respiratory syncytial virus | 1E+5 TCID ₅₀ /mL | Legionella pneumophila | 1E+6 CFU/mL |
| Chlamydia pneumoniae | 1E+6 IFU/mL | Mycobacterium tuberculosis | 1E+6 TCID ₅₀ /mL |
| Haemophilus influenzae | 1E+6 CFU/mL | Streptococcus pneumoniae | 1E+6 CFU/mL |
| Bordetella pertussis | 1E+6 CFU/mL | Streptococcus pyogenes | 1E+6 CFU/mL |
| Pneumocystis jirovecii (PJP) | 1E+6 nuc/mL | Streptococcus salivarius | 1E+6 CFU/mL |
| Candida albicans | 1E+6 CFU/mL | Mycoplasma pneumoniae | 1E+6 CFU/mL |
| Staphylococcus epidermidis | 1E+6 CFU/mL | Pseudomonas aeruginosa | 1E+6 CFU/mL |
| Pooled human nasal wash ² - to represent diverse microbial flora in human respiratory tract | N/A | | |

Table 7: Aptima SARS-CoV-2 Analytical Specificity and Microbial Interference Microorganisms

Clinical Performance

The clinical performance of the Aptima SARS-CoV-2 assay was evaluated in comparison to the Panther Fusion SARS-CoV-2 assay (Hologic, Inc.) using a panel of remnant clinical specimens. For the study, remnant clinical nasopharyngeal specimens were collected from US patients with signs and symptoms of respiratory infection.

The Positive Percent Agreement (PPA) and Negative Percent Agreement (NPA) was calculated in relation to the Panther Fusion assay as the reference result, as shown in Table 8. The Aptima SARS-CoV-2 assay showed positive and negative agreements of 100% and 98.2%, respectively.

Nasopharyngeal wash/aspirate, nasal aspirates, nasal swabs and midturbinate nasal swabs are acceptable specimens to test for viral respiratory infections. However, performance with these specimen types has not been specifically evaluated with the Aptima SARS-CoV-2 assay.

¹ Cultured virus and whole genome purified nucleic acid for Human coronavirus HKU1 and SARS-coronavirus are not readily available. HKU1 and SARS-coronavirus IVTs corresponding to the ORF1ab gene regions targeted by the assay were used to evaluate cross-reactivity and microbial interference.

² In place of evaluating pooled human nasal wash, testing of 30 individual negative clinical NP swab specimens was performed to represent diverse microbial flora in the human respiratory tract.

Table 8: Aptima SARS-CoV-2 Clinical Agreement

| | | Panther Fusion SARS-CoV-2 Assay | |
|------------------|----------|---------------------------------|----------|
| | | Positive | Negative |
| Aptima | Positive | 50 | 1 |
| SARS-CoV-2 Assay | Negative | 0 | 54 |

Positive Percent Agreement: (95% CI): 100% (92.9% – 100%) Negative Percent Agreement: (95% CI): 98.2% (90.4% – 99.7%)

Overall Agreement: (95% CI): 99.0% (94.8% – 99.8%)

Clinical Performance with Contrived Panel

The clinical performance of the Aptima SARS-CoV-2 assay using the Aptima Specimen Transfer tube specimen preparation workflow was evaluated in comparison to a panel of contrived specimens. For the study, a panel of 115 remnant clinical nasopharyngeal specimens was tested using both the Panther Fusion Specimen Lysis Tube (Specimen Lysis Tube) and Aptima Specimen Transfer tube workflows. All specimens were collected from US patients with signs and symptoms of respiratory infection. The panel consisted of 65 SARS-CoV-2 positive and 50 SARS-CoV-2 negative specimens. Of the 65 positive specimens, 40 were at concentrations 0.5-2x LoD and 25 were at concentrations 3-5x LoD using inactivated cultured SARS-CoV-2 virus (USA-QA1/2020; BEI Resources; NR-52281) as the target.

The Positive Percent Agreement (PPA) and Negative Percent Agreement (NPA) for both specimen preparation workflows were calculated in relation to the expected result of the contrived specimen panel, as shown in Table 9 for the Aptima Specimen Transfer Tube and Table 10 for the Specimen Lysis Tube. Detection characteristics for the contrived specimens were calculated by target concentration, as shown in Table 11. Both specimen preparation workflows showed 100% agreement for the evaluated panels.

Table 9: Performance of the Aptima Specimen Transfer Tube Workflow Relative to Expected Results

| | | Expected Result | | |
|-----------------|----------|-----------------|----------|-------|
| | | Positive | Negative | Total |
| Aptima Specimen | Positive | 65 | 0 | 65 |
| Transfer Result | Negative | 0 | 50 | 50 |
| | Total | 65 | 50 | 115 |

Overall Agreement: 100% (96.8% – 100%)
Positive Agreement: 100% (94.4% – 100%)
Negative Agreement: 100% (92.9% – 100%)

Table 10: Performance of the Specimen Lysis Tube Workflow Relative to Expected Results

| | Expected Result | | | |
|----------------------|-----------------|----------|----------|-------|
| | | Positive | Negative | Total |
| Specimen | Positive | 65 | 0 | 65 |
| Lysis Tube Result | Negative | 0 | 50 | 50 |
| | Total | 65 | 50 | 115 |

Overall Agreement: 100% (96.8% – 100%) Positive Agreement: 100% (94.4% – 100%) Negative Agreement: 100% (92.9% – 100%)

Table 11: Detection Characteristics for Contrived Nasopharyngeal Swab Specimens

| | Aptima Specimen Transfer Sample Workflow | | | | | Spec | imen Ly | sis Tube | Sample | e Work | flow | |
|-----------------|--|---------------|---------------|-----------------|----------------|------|------------|---------------|---------------|-----------------|----------------|-----|
| Target Conc. | n Valid | n Positive | % Positive | Average kRLU | St Dev kRLU | %CV | n Valid | n Positive | % Positive | Average kRLU | St Dev kRLU | %CV |
| Neg | 50 | 0 | 0 | 299 | 9.7 | 3.2 | 50 | 0 | 0 | 300 | 9.3 | 3.1 |
| 0.5x LoD | 10 | 10 | 100 | 1050 | 208.5 | 19.9 | 10 | 10 | 100 | 1153 | 113.0 | 9.8 |
| 1.0x LoD | 10 | 10 | 100 | 1176 | 102.1 | 8.7 | 10 | 10 | 100 | 1205 | 24.3 | 2.0 |
| 1.5x LoD | 10 | 10 | 100 | 1222 | 31.6 | 2.6 | 10 | 10 | 100 | 1223 | 21.9 | 1.8 |
| 2.0x LoD | 10 | 10 | 100 | 1225 | 22.6 | 1.8 | 10 | 10 | 100 | 1237 | 26.0 | 2.1 |
| 3.0x LoD | 10 | 10 | 100 | 1228 | 13.6 | 1.1 | 10 | 10 | 100 | 1215 | 25.5 | 2.1 |
| 4.0x LoD | 5 | 5 | 100 | 1238 | 16.7 | 1.4 | 5 | 5 | 100 | 1212 | 12.5 | 1.0 |
| 5.0x LoD | 10 | 10 | 100 | 1237 | 18.2 | 1.5 | 10 | 10 | 100 | 1246 | 28.3 | 2.3 |

Clinical Performance with Naturally Infected Positive Specimens

The clinical performance of the Aptima SARS-CoV-2 assay using the Aptima Specimen Transfer tube specimen preparation workflow was evaluated in comparison to the Specimen Lysis Tube workflow tested with both the Aptima and Panther Fusion SARS-CoV-2 assays. For the study, three dilutions of 15 unique SARS-CoV-2 positive nasopharyngeal swab specimens were prepared and processed using both workflows. SARS-CoV-2 samples were previously determined to be positive using a non-Hologic molecular assay.

The positive percent agreement between the Aptima SARS-CoV-2 Assay using the Aptima Specimen Transfer Tube and the Specimen Lysis Tube workflows were 97.5% (87.1% - 99.6%) and 100% (91.0% - 100%), respectively, when compared to the Panther Fusion SARS-CoV-2 assay using the Specimen Lysis Tube workflow as reference. The positive percent agreement of the Aptima Specimen Transfer tube workflow was 95.0% (83.5% - 98.6%) when compared to the Specimen Lysis Tube workflow as reference.

Clinical Performance of Pooling up to 5 Specimens Prior to Testing

The clinical performance of the Aptima SARS-CoV-2 assay was evaluated in pools consisting of up to 5 specimens. For the study, a pool size of 5 specimens was evaluated and included positive and negative specimen pools. Each positive specimen pool consisted of one positive

specimen with the remaining specimens being negative, whereas the negative specimen pools consisted only of negative specimens. For the study, 50 positive and 20 negative specimen pools were evaluated. The positive specimens used in the study covered the detectable range of the assay and included 20% low positive specimens. Specimens for inclusion in the clinical performance of pooling study were chosen based on Ct results obtained with the Panther Fusion SARS-CoV-2 assay. The Panther Fusion SARS-CoV-2 assay was used for this purpose because the Panther Fusion SARS-CoV-2 and Aptima SARS-CoV-2 assays have the same LoD when evaluated with the FDA reference panel (i.e., 600 NDU/mL). Low positive specimens included in the study were defined as having a Ct value within 1-2 Ct of the LoD of the Panther Fusion SARS-CoV-2 assay. Both the pooled and individual specimens were evaluated with the Aptima SARS-CoV-2 assay.

The Positive Percent Agreement (PPA) and Negative Percent Agreement (NPA) were calculated in relation to the expected (individual) result, as shown in Table 12. All evaluated positive specimens yielded a positive result in the pool. Since the kRLU values for the Aptima assay do not correspond to target concentration, signal and in silico sensitivity analysis was not performed.

Table 12: Individual and Pooled Specimen Agreement with a Pool Size of 5

| | Individual Specimen Result | | | |
|--------------------|----------------------------|----------|----------|-------|
| | | Positive | Negative | Total |
| Pool of 5 Result — | Positive | 50 | 0 | 50 |
| Fool of 5 Result — | Negative | 0 | 20 | 20 |
| | Total | 50 | 20 | 70 |

Agreement (95% C.I.):

Overall Agreement: 100% (94.8% – 100.0%) Positive Agreement: 100% (92.9% – 100.0%) Negative Agreement: 100% (83.9% – 100.0%)

Clinical Performance in Asymptomatic Individuals

The clinical performance of the Aptima SARS-CoV-2 assay in individuals without signs and symptoms of respiratory infection (asymptomatic individuals) was evaluated in comparison to an EUA molecular assay. Prospectively collected nasopharyngeal swab specimens from US patients were assessed, including 45 specimens positive for SARS-CoV-2 and 315 specimens negative for SARS-CoV-2 using the EUA comparator assay. The PPA and NPA were calculated in relation to the EUA comparator assay results. The PPA and NPA were 100% and 96.5%, respectively, for the Aptima SARS-CoV-2 assay in asymptomatic individuals, as shown in Table 13.

Table 13: Aptima SARS-CoV-2 Clinical Agreement

| | | EU | A Assay |
|------------------|----------|----------|----------|
| | | Positive | Negative |
| Aptima | Positive | 45 | 11 |
| SARS-CoV-2 Assay | Negative | 0 | 304 |

Positive Percent Agreement (PPA): 100% (92.1% – 100.0%) Negative Agreement (NPA): 96.5% (93.9% – 98.0%)

Six (6) of the 11 NP swab specimens with false positive results were confirmed positive following retesting with the comparator EUA assay. Ct values for these 6 samples ranged between 35.5 and 38.9, suggestive of low viral load.

Clinical Performance of Pooling up to 5 Asymptomatic Patient Specimens Prior to Testing

The clinical performance of the Aptima SARS-CoV-2 assay was evaluated in specimen pools with specimens collected from asymptomatic patients. Pool sizes of up to 5 specimens were evaluated with both positive and negative asymptomatic patient specimens. Each positive specimen pool consisted of one positive specimen with the remaining specimens being negative, whereas the negative specimen pools consisted only of negative specimens. For a pool size of three, 32 positive and 32 negative specimen pools were evaluated. For a pool size of four, 36 positive and 31 negative specimen pools were evaluated. For a pool size of five, 36 positive and 30 negative specimen pools were evaluated. The positive specimens used in the study covered the detectable range of the assay and each pool size included 25% low positive specimens. Specimens included in the clinical performance study were chosen based on Ct results obtained with the Panther Fusion SARS-CoV-2 assay. The Panther Fusion SARS-Cov-2 assay was used for this purpose because the Panther Fusion SARS-CoV-2 and the Aptima SARS-CoV-2 assays have the same LoD when evaluated with the FDA reference panel (i.e. 600 NDU/mL). Low positive specimens included in the study were defined as having a Ct value within 1-2 Ct of the LoD of the Panther Fusion SARS-CoV-2 assay. Both the pooled and individual specimens were evaluated with the Aptima SARS-CoV-2 assay.

The Positive Percent Agreement (PPA) and Negative Percent Agreement (NPA) were calculated in relation to the expected (individual) result for each evaluated pool size, as shown in Table 14, Table 15, and Table 16. With a pool size of three, one of the eight specimens evaluated with a target concentration at or near the LoD of the assay yielded an individual positive result but was not detected as part of a specimen pool. With a pool size of four, all evaluated positive specimens yielded a positive result when tested pooled. With a pool size of five, five of the nine specimens evaluated with target concentrations at or near the LoD of the assay yielded an individual positive result but were not detected as part of a specimen pool. Since the kRLU values for the Aptima assay do not correspond to target concentrations, signal and *in silico* sensitivity analysis was not performed.

Table 14: Asymptomatic Individual and Pooled Specimen Agreement with a Pool Size of 3

| | | Individual Specimen Result | | |
|--------------------|----------|----------------------------|----------|-------|
| | | Positive | Negative | Total |
| Pool of 3 Result — | Positive | 31 | 0 | 31 |
| | Negative | 1 | 32 | 33 |
| | Total | 32 | 32 | 64 |

Overall Agreement: 98.4% (91.7% - 99.7%) Positive Agreement: 96.9% (84.3% - 99.4%) Negative Agreement: 100% (89.3% - 100%)

Table 15: Asymptomatic Individual and Pooled Specimen Agreement with a Pool Size of 4

| | Individual Specimen Result | | | |
|--------------------|----------------------------|----------|----------|-------|
| | | Positive | Negative | Total |
| Pool of 4 Result – | Positive | 31 | 0 | 31 |
| Pool of 4 Result — | Negative | 5 | 30 | 35 |
| | Total | 36 | 30 | 66 |

Overall Agreement: 100% (94.6% - 100%)
Positive Agreement: 100% (90.4% - 100%)
Negative Agreement: 100% (89.0% - 100%)

Table 16: Asymptomatic Individual and Pooled Specimen Agreement with a Pool Size of 5

| | Individual Specimen Result | | | |
|--------------------|----------------------------|----------|----------|-------|
| | | Positive | Negative | Total |
| Pool of 5 Result — | Positive | 31 | 0 | 31 |
| Fooi of 5 Result — | Negative | 5 | 30 | 35 |
| | Total | 36 | 30 | 66 |

Overall Agreement: 92.4% (83.5% - 96.7%) Positive Agreement: 86.1% (71.3% - 93.9%) Negative Agreement: 100% (88.6% - 100%)

Bibliography

- 1. **World Health Organization.** Q&A on coronaviruses (COVID-19). March 9, 2020. World Health Organization Web site https://www.who.int/news-room/q-a-detail/q-a-coronaviruses. Accessed March 10, 2020.
- Centers for Disease Control and Prevention. https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/how-covid-spreads.html. Accessed June 17, 2020.
- 3. Centers for Disease Control and Prevention. Coronavirus Disease 2019-(COVID-19) in the U.S. Updated March 10, 2020. Centers for Disease Control and Prevention Web site https://www.cdc.gov/coronavirus/2019-ncov/cases-in-us.html. Accessed March 10, 2020.
- Centers for Disease Control and Prevention. Coronavirus Disease 2019 Information for Travel. Page last reviewed March 8, 2020.
 Centers for Disease Control and Prevention Web site https://www.cdc.gov/coronavirus/2019-ncov/travelers/index.html. Accessed March 10, 2020.
- Centers for Disease Control and Prevention. Coronavirus Disease 2019-(COVID-19) Situation Summary. Updated March 9, 2020.
 Centers for Disease Control and Prevention Web site https://www.cdc.gov/coronavirus/2019-ncov/summary.html. Accessed March 10, 2020.
- 6. Clinical & Laboratory Standards Institute. Document M29 Protection of Laboratory Workers from Occupationally Acquired Infections. CLSI Web site https://clsi.org/standards/products/microbiology/documents/m29/. Accessed September 2017.





Hologic, Inc. 10210 Genetic Center Drive San Diego, CA 92121 USA

Customer Support: +1 800 442 9892

customersupport@hologic.com

Technical Support: +1 888 484 4747

molecularsupport@hologic.com

For more contact information visit www.hologic.com.

Hologic, Aptima, Panther, and Panther Fusion are trademarks and/or registered trademarks of Hologic, Inc. and/or its subsidiaries in the United States and/or other countries.

All other trademarks that may appear in this package insert are the property of their respective owners.

This product may be covered by one or more U.S. patents identified at www.hologic.com/patents.

©2021 Hologic, Inc. All rights reserved.

AW-21677-001 Rev. 004 2021-08

Appendix A: Specimen Pooling Implementation and Monitoring Guidelines

Before Implementation of Pooling: Determine Appropriate Pool Size

Before a pooling strategy is implemented, a laboratory should determine the appropriate pool size based on percent positivity rate and desired testing efficiency. The Aptima SARS-CoV-2 assay has been validated for n-sample pool sizes up to five samples per pool.

If historical laboratory data for individual specimens is available:

- If historical data for individual specimens from the previous 7-10* days is available. estimate the percent positivity rate (Pindividual) based on individual results. (Pindividual) = (Number of positive specimen over chosen date range ÷ Total number of specimen tested over chosen date range)*100.
- Using the calculated Pindividual and Table 17, identify the appropriate *n* number of samples to pool.
 - If Pindividual is less than 5%, the maximum pool size validated, (n=5), should be selected to maximize the efficiency of specimen pooling. Pooling with greater than 5 samples has not been validated and should not be performed.
 - If Pindividual is greater than 25%, Dorfman pooling of patient specimens is not efficient and should not be implemented.

If historical laboratory data for individual specimens is unavailable:

- If historical data from the previous 7-10* days is unavailable, 5, 4, or 3-specimen pooling may still implemented as the Aptima SARS-CoV-2 assay has been validated for 5-specimen pooling.
- Note: Without calculating Pindividual the pooling size implemented may not maximize pooling efficiency.

Table 17: Result Interpretation

| P, percent of positive subjects in the tested population | nmaxefficiency (n corresponding to the maximal efficiency) | Efficiency of n-sample pooling (a maximum increase in the number of tested patients when Dorfman n-pooling strategy used) |
|--|--|---|
| 5%-6% | 5 | 2.15-2.35 |
| 7%-12% | 4 | 1.54-1.99 |
| 13%-25% | 3 | 1.10-1.48 |

Because a positive pool requires individual retesting of each sample in the pool, the efficiency of any pooling strategy depends on the positivity rate. The efficiency (F) of n-sample pooling for positivity rate (P) can be calculated with the following formula F=1/(1+1/n-(1-P)ⁿ). The efficiency (F) indicates how many more patients can be tested with n-sample pools compared to individual testing. For example, a 5-sample pooling strategy increases the number of tested patients by 2.15 times for positivity rate P of 6% (F=2.15). At F=2.15, 1,000 tests can on average cover testing of 2,150 patients.

Implementation of Pooling

See above section titled *Specimen Pooling: Preparing Samples for Pooling* and perform pooling procedure as outlined.

After Implementation of Pooling: Ongoing Monitoring of Pooling Strategy

If historical laboratory data for individual specimens is available:

- After implementing a pooling strategy, evaluate the performance of pooled testing by comparing the percent positivity rate of pooled testing to that of individual testing.
- Calculate the percent positivity rate among patient specimens during specimen pooling (Ppools) on a daily basis using a moving average of the data from the previous 7-10* days of testing.
 - (Ppools) = (Number of patient specimens with a positive result as determined by individual specimen reflex testing of positive pools over chosen date range ÷ Total number of patient specimens tested in pools over chosen date range)*100
- Compare Ppools to Pindividual. If Ppools is less than 85% of Pindividual. (Ppools < 0.85 X Pindividual), it is recommended that the pool size be reassessed and adjusted to maximize pooling efficiency (if necessary), according to the criteria in Table 17.
- To ensure maximum pooling efficiency, it is recommended that nmaxeffiency be reaccessed periodically while sample pooling is implemented by the laboratory.

If historical laboratory data for individual specimens is unavailable:

- After initiating a pooling strategy, evaluate the performance of pooled testing by
 calculating the initial percent positivity rate for pooled specimens (Ppools-initial). (Ppoolsinitial is the percent positivity rate for pooled specimens for the first 7-10* days of pooled
 testing.
- Calculate the initial percent positivity rate for individual specimens from pool testing (Ppools-initial) from the first 7-10* days of testing.
 - Ppools-initial = (Number of patient specimens with a positive result as determined by individual specimen reflex testing of positive pools in first 7-10* days ÷ Total number of patient specimens tested in pools in the first 7-10* days)*100
 - If Ppools-initial is greater than 25%, pooling of patient specimens is not efficient and should be discontinued until the percent positivity rate decreases.
 - If Ppools-initial is less than or equal to 25%, pooling of patient specimens can be continued.
- Continue to monitor pooling strategy by calculating the percent positivity rate among patient specimens during specimen poling (Ppools-x) for subsequent 7-10* day periods. (Ppools-x) should be updated daily using a moving average.

- Compare Ppools-x to Ppools-initial. If Ppools-x is less than 90% of Ppools-initial (Ppools-x <0.90 X Ppools-initial), it is recommended that the pool size be reassessed and potentially adjusted to maximize pooling efficiency.
- To ensure maximum pooling efficiency, it is recommended that nmaxefficiency be reassessed periodically while sample pooling is implemented by the laboratory.

*7-10 days is recommended for calculating Pindividual, Ppools, Ppools-initial, and Ppools-x. Laboratories should determine if 7-10 days is appropriate by taking into consideration laboratory testing volume and percent positivity. If the number of individual or pooled positive results collected during a given time frame is less than 10, Pindividual, Ppools, Ppools-initial, and Ppools-x may not be representative of the percent positivity in the testing population. Consider extending the data collection time period to increase the number of positives evaluated.